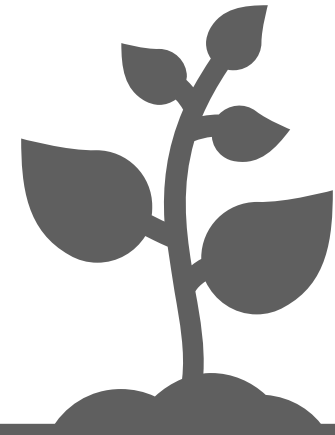


FORTUNY ASSET MANAGEMENT ESG COMPLIANCE

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CURRENT SITUATION OF FORTUNY ASSET MANAGEMENT



Fortuny Asset Management have no plan about sustainable finance and does not respect ESG Regulations



There is a need for Fortuny Asset Management to integrate more transparent and comprehensive ESG reporting to remain competitive and meet the increasing expectations of regulators and clients, both B2C and B2B (also considering the different levels of informations required by the 2 types of customers) considering their increasing interest in sustainable investments.



No respect of ESG regulations can lead to:

- Legal and Financial Sanctions
- Strained Stakeholder Relations
- Limited access to financing
- Revoked License

THEMES AND WORKSTREAMS WE RECOMMEND TO WORK ON



Sustainable finance compliance: It is important that Fortuny meets current and future sustainable finance regulatory requirements



Improvement of the client satisfaction: It is important that they enhance the transparency and the usability of the reporting



Improvement of data management and reporting accuracy: It is important to establish a good governance of the data while focusing on the quality. But also invest on automatization to ensure a good reporting.



Risk management: It is important to address the different risks (regulatory and operational) that are associated to the sustainable finance

STEPS

Deliverables

1. Assessment and Planning	2. Design Phase	3. Development and Implementation	4. Testing and Validation	5. Launch & Training
Analysis of current VS desired reporting capabilities	Creation of a sustainable workflow, developing detailed requirements for sustainable finance reporting	Implement data management tools and reporting softwares aligned with regulatory requirements, introducing also automation	Run Pilot test in order to check accuracy, compliance and user satisfaction	Launch of the new reporting framework, conducting training session for staff

FUNCTIONS TO MOBILIZE WITHIN FORTUNE ASSET MANAGEMENT

1- **Compliance team**- to ensure all requirements are met, given the new criterias introduced

2- **IT and Data Management Team** – For data infrastructure and reporting tool (the amount of data to be collected will be significantly higher, considering that for asset managers is not only important to collect their own datas, but also all the data of companies they are investing in)

3- **Client relationship manager** – To provide insights on clients needs

4- **Risk Management Team** – To asses and mitigate the risks associated with reporting transformation

5 – **Training and development Team** – To manage staff training and change management

EXPECTED DELIVERABLES



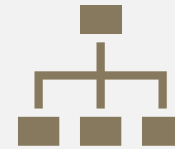
Gap Analysis, Stakeholder Map and detailed offered portfolios analysis



Sustainability Strategy proposal: Roadmap for ESG Compliance according to AMF rules



Detailed Budget of the Required Investment



ESG Product Portfolio Design: Template that demonstrate the integration of ESG factors in portfolio management



Regulatory Compliance Guide: Process guide and Reporting mechanism in order to be complaint with sustainable finance regulations